

## OPTIMAL SURFACE IN PLAN FOR HOLLOW CIRCULAR FOOTINGS ASSUMING THAT THE CONTACT SURFACE WITH SOIL WORKS PARTIALLY UNDER COMPRESSION

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**ABSTRACT.** *This paper presents a model to determine the optimal surface of a hollow circular footing or annular strip footing (the width and the position where pressure is zero, since the radius is subject to the conditions of the superstructure), assuming that the soil is elastic, the soil pressure distribution is linear and the surface in contact with the soil works partially in compression. Some authors show the optimal surface of solid circular footings taking it into account that the area in contact with the soil works partially under compression, and other present annular strip footings or hollow circular footings assuming uniform soil pressure. The formulation is developed by integration to determine the axial load “P” and a resultant moment “M<sub>R</sub>” from the moments “M<sub>x</sub>” and “M<sub>y</sub>”. Six numerical examples are presented to determine the soil contact area for hollow circular footings under an axial load and a resultant moment. A comparison is also made with the results of other authors and the results show that using the proposed model savings of up to 43.75% can be achieved.*

**Keywords:** Optimal surface, Hollow circular footings, Linear soil pressure, Partially compressed contact surface

**1. Introduction.** A circular footing is a shallow foundation used to support the structures with circular geometry such as water tanks, wind turbines, and silos. A ring footing is a shallow foundation, also called as annular footing, used to support isolated structures with annular geometry such as lighthouses, chimneys, and silos. A strip footing, also called as continuous footing is a shallow foundation used to support long structures having load-bearing walls.

Some researchers have developed models to determine the plan contact surface for various types of solid foundations: Isolated square, rectangular and circular footings subjected to biaxial bending [1-10]; Combined trapezoidal, rectangular, strap and T-shaped footings subjected to biaxial bending in each column [11-15]. All these models assume that the contact surface in plan works entirely in compression.

Several researchers have investigated complete models to determine the footings design for various types of solid foundations: Isolated square, rectangular and circular footings

subjected to biaxial bending [16-20]; Combined trapezoidal, rectangular, strap and T-shaped footings subjected to biaxial bending in each column [21-26]. All these models assume that the contact surface in plan works entirely in compression.

Other researchers have proposed models to determine the plan contact surface for various types of solid footings assuming that soil contact surface works partially to compression and a linear soil pressure distribution: Isolated footings subjected to biaxial bending for rectangular [27-36] and circular shapes [37-39]; Rectangular combined footings subjected to biaxial bending in each column [40].

Several researchers have studied to determine the complete footings design for various types of solid foundations assuming that soil contact surface works partially to compression and a linear soil pressure distribution: Isolated footings subjected to biaxial bending for rectangular [41] and circular shapes [42].

The works on hollow circular footings or annular footings are as follows. Singh-Rathor et al. [43] investigated an annular foundation supported by reinforced concrete piles based on the continuum approach. Kim et al. [44] applied the finite element method to obtaining the bearing capacity of an annular foundation resting on medium to highly weathered rocks. Sankaran and Subrahmanyam [45] presented analytical solutions the contact pressure distribution for uniform load in annular ring showing curves and numerical examples. Rathor and Sharma [46] developed a comparison between solid raft foundations and annular raft foundations. Galvis and Smith-Pardo [47] estimated simplified closed-form equations and design aids to obtain the coupled vertical load and biaxial moment capacity for hollow and solid circular and rectangular shallow foundations. Ahmad et al. [48] presented an analysis of ring footings and anchors supported on elastic soil medium using finite difference technique. Rana and Jamani [49] showed a comparison between solid circular raft foundations and annular raft circular foundations for water tank with different diameters. Kumar and Rai [50] presented a comparative analysis of ring slab foundations with ring beam and without ring beam. Manideep et al. [51] evaluated the bearing capacity of circular, ring and footings on limited depth of soil.

According to the bibliographic review, there are several studies on rectangular and circular isolated footings assuming that the area in contact with the ground works partially under compression (solid footings without gaps), on the other hand there are also several works on annular foundations or hollow circular footings assuming uniform soil pressure, that is, this pressure is the bearing capacity of the soil. Therefore, there is no paper on optimal surface in plan for hollow circular footings assuming that the contact surface with soil works partially to compression.

This paper presents a model to estimate the optimal surface of a hollow circular footing or annular strip footing assuming that contact surface with the ground works partially in compression, that is, one part of the contact surface of the footing with ground is subjected to compression and the other part has zero pressure (The width is defined, since the radius is subject to the conditions of the superstructure). Some authors show the optimal surface of a solid circular footing, and others present annular strip footings or hollow circular footings assuming uniform soil pressure. Six numerical examples are shown to estimate the contact surface of hollow circular footings under an axial load and a resultant moment, and a comparison is also made with other authors to observe the differences.

The paper is organized as follows. Section 2 describes the formulation of the model to determine the dimension of the hollow circular footings assuming that contact surface with the ground works partially to compression. Subsection 2.1 shows the equations for case I (Surface works entirely in compression). Subsection 2.2 presents the equations for case II (Surface works partially in compression). Subsection 2.3 shows the optimal surface

for hollow circular footings of the two cases. Section 3 presents the numerical examples applied to the new model for hollow circular footings. Section 4 shows the results. Section 5 presents the conclusions to complete the paper.

**2. Formulation of the Model.** Figure 1 presents a hollow circular footing under biaxial bending.

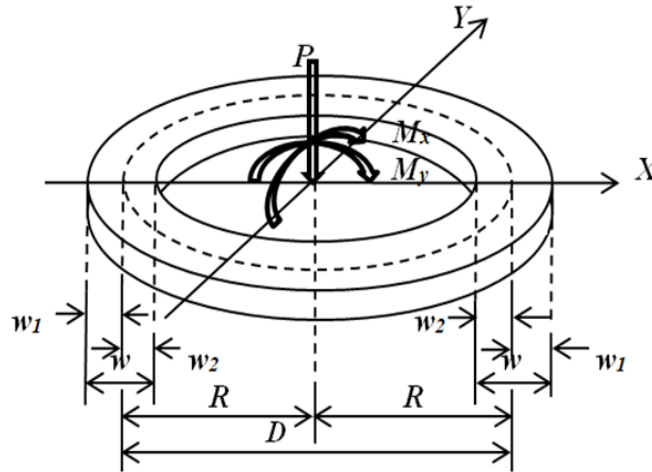


FIGURE 1. Hollow circular footing for two moments

General equation of the biaxial bending for footings is

$$p_s = \frac{P}{A} + \frac{M_x y}{I_x} + \frac{M_y x}{I_y} \tag{1}$$

where  $p_s$  = soil pressure on the base,  $A$  = contact area in plan of the footing,  $P$  = axial load applied to the footing,  $M_x$  and  $M_y$  = moments applied on their respective axes,  $x$  and  $y$  = coordinates of the footing under study,  $I_x$  and  $I_y$  = moments of inertia on their respective axes.

Now, to work the problem with a moment, the resultant moment “ $M_R$ ” is determined from the two moments “ $M_x$ ” and “ $M_y$ ”.

Figure 2 shows the axial load “ $P$ ” and the resultant moment “ $M_R$ ” of the moments applied to the  $X$  and  $Y$  axes, the width of the foundation “ $w$ ”, the external width of the foundation “ $w_1$ ”, the internal width of the foundation “ $w_2$ ”, the radius of the wall “ $R$ ” and the diameter of the wall “ $D$ ”.

The resultant moment “ $M_R$ ” is obtained as shown below:

$$M_R = \sqrt{M_x^2 + M_y^2} \tag{2}$$

The inclination angle “ $\varphi$ ” is obtained from the  $Y$  axis as shown below:

$$\sin \varphi = \frac{M_y}{M_R} \rightarrow \varphi = \arcsin \left( \frac{M_y}{M_R} \right) \tag{3}$$

Substituting Equation (2) into Equation (1) is obtained the soil pressure on the hollow circular footing as shown below:

$$p_s = \frac{P}{A} + \frac{M_R y_R}{I} \tag{4}$$

where  $y_R$  = coordinate of the footing on the  $Y'$  axis.

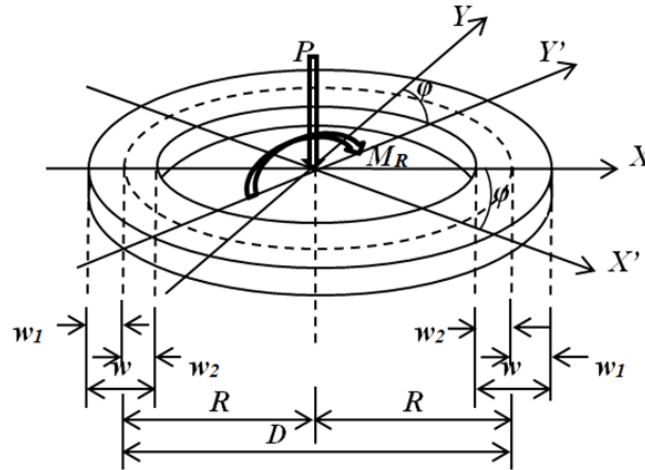


FIGURE 2. Simplified hollow circular footing

Substituting  $A = \pi(R + w_1)^2 - \pi(R - w_2)^2$  and  $I = \pi(R + w_1)^4/4 - \pi(R - w_2)^4/4$  into Equation (4), the maximum pressure “ $p_{s1}$ ” and minimum pressure “ $p_{s2}$ ” of the hollow circular footing are determined:

$$p_{s1} = \frac{P}{\pi [(R + w_1)^2 - (R - w_2)^2]} + \frac{4M_R(R + w_1)}{\pi [(R + w_1)^4 - (R - w_2)^4]} \quad (5)$$

$$p_{s2} = \frac{P}{\pi [(R + w_1)^2 - (R - w_2)^2]} - \frac{4M_R(R + w_1)}{\pi [(R + w_1)^4 - (R - w_2)^4]} \quad (6)$$

where  $w_1$  = outside width of the foundation measured from the center of the wall,  $w_2$  = inside width of the foundation measured from the center of the wall.

The eccentricity equation “ $e_R$ ” is obtained as shown below:

$$e_R = \frac{M_R}{P} \quad (7)$$

Figure 3 presents a circular footing. In this type of foundations, two cases appear: Case I when the resultant force is located inside the central nucleus, that is, the entire area works in compression; Case II when the resultant force is located outside the central nucleus, that is, a part of the area works in compression (well-known situation).

Figure 4 presents the pressure diagram of a hollow circular footing for the two cases.

**2.1. Case I. Surface works entirely in compression.** Figure 4(a) presents the pressure diagram of a hollow circular footing supported on elastic soils with an eccentric column under biaxial bending; assuming that the surface in contact with the ground works completely in compression and the distribution of the ground pressure is linear.

General equations for a hollow circular footing are shown in Equations (5) and (6).

**2.2. Case II. Surface works partially in compression.** Figure 4(b) presents the pressure diagram of a hollow circular footing supported on elastic soils with an eccentric column under biaxial bending; assuming that the surface in contact with the ground works partially in compression and the distribution of the ground pressure is linear.

The relationship between the pressure at anywhere of the footing “ $p_z$ ” and the maximum pressure “ $p_{\max}$ ” is found as follows:

$$\frac{p_z}{y' - y_0} = \frac{p_{\max}}{R + w_1 - y_0} \quad (8)$$

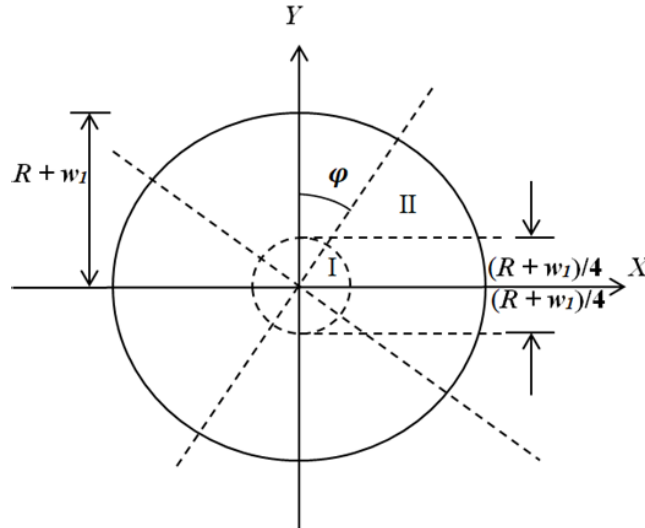


FIGURE 3. Central nucleus of a circular footing

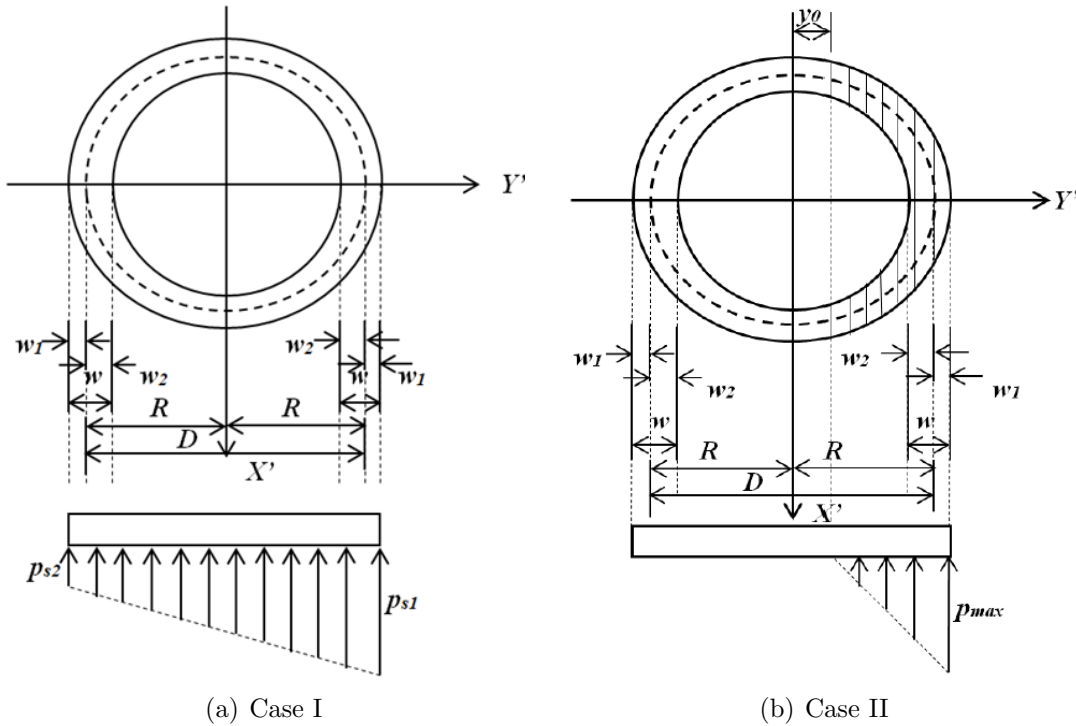


FIGURE 4. Pressure diagram of a hollow circular footing

By Equation (8), the pressure at anywhere of the hollow circular footing is determined:

$$p_z = \frac{p_{\max} (y' - y_0)}{R + w_1 - y_0} \tag{9}$$

To obtain the vertical load, it is done in two parts, the first for the circumference of radius  $R + w_1$ , and the second for the circumference of radius  $R - w_2$ .

Now, by integration the vertical load generated by the soil pressure is obtained.

For the circumference of radius  $R + w_1$ :

$$P_1 = \int_{y_0}^{R+w_1} p_z dA \tag{10}$$

where

$$dA = 2x'dy' \quad (11)$$

$$x' = \sqrt{(R + w_1)^2 - y'^2} \quad (12)$$

Substituting Equations (9), (11) and (12) into Equation (10) is obtained:

$$P_1 = 2 \int_{y_0}^{R+w_1} \frac{p_{\max}(y' - y_0)}{R + w_1 - y_0} \sqrt{(R + w_1)^2 - y'^2} dy' \quad (13)$$

$$P_1 = \frac{p_{\max}}{R + w_1 - y_0} \left\{ \frac{[2(R + w_1)^2 + y_0^2] \sqrt{(R + w_1)^2 - y_0^2}}{3} - \frac{y_0(R + w_1)^2}{2} \left[ \pi - 2\arcsin\left(\frac{y_0}{R + w_1}\right) \right] \right\} \quad (14)$$

For the circumference of radius  $R - w_2$ :

$$P_2 = \int_{y_0}^{R-w_2} p_z dA \quad (15)$$

where

$$dA = 2x'dy' \quad (16)$$

$$x' = \sqrt{(R - w_2)^2 - y'^2} \quad (17)$$

Substituting Equations (9), (16) and (17) into Equation (15) is obtained:

$$P_2 = 2 \int_{y_0}^{R-w_2} \frac{p_{\max}(y' - y_0)}{R + w_1 - y_0} \sqrt{(R - w_2)^2 - y'^2} dy' \quad (18)$$

$$P_2 = \frac{p_{\max}}{R + w_1 - y_0} \left\{ \frac{[2(R - w_2)^2 + y_0^2] \sqrt{(R - w_2)^2 - y_0^2}}{3} - \frac{y_0(R - w_2)^2}{2} \left[ \pi - 2\arcsin\left(\frac{y_0}{R - w_2}\right) \right] \right\} \quad (19)$$

Now, the total load “ $P$ ” is obtained from  $P_1 - P_2$ .

$$P = \frac{p_{\max}}{R + w_1 - y_0} \left\{ \frac{[2(R + w_1)^2 + y_0^2] \sqrt{(R + w_1)^2 - y_0^2}}{3} - \frac{[2(R - w_2)^2 + y_0^2] \sqrt{(R - w_2)^2 - y_0^2}}{3} - \frac{y_0(R + w_1)^2}{2} \left[ \pi - 2\arcsin\left(\frac{y_0}{R + w_1}\right) \right] + \frac{y_0(R - w_2)^2}{2} \left[ \pi - 2\arcsin\left(\frac{y_0}{R - w_2}\right) \right] \right\} \quad (20)$$

Now, by integration the resultant moment generated by the soil pressure is obtained.

For the circumference of radius  $R + w_1$ :

$$M_{R1} = \int_{y_0}^{R+w_1} p_z y' dA \tag{21}$$

Substituting Equations (9), (11) and (12) into Equation (21) is obtained:

$$M_{R1} = 2 \int_{y_0}^{R+w_1} \frac{p_{\max} (y' - y_0)}{R + w_1 - y_0} \sqrt{(R + w_1)^2 - y'^2} dy' \tag{22}$$

$$M_{R1} = \frac{p_{\max}}{R + w_1 - y_0} \left\{ \frac{y_0 [5 (R + w_1)^2 - 2y_0^2] \sqrt{(R + w_1)^2 - y_0^2}}{12} - \frac{(R + w_1)^4}{8} \left[ \pi - 2\arcsin \left( \frac{y_0}{R + w_1} \right) \right] \right\} \tag{23}$$

For the circumference of radius  $R - w_2$ :

$$M_{R2} = \int_{y_0}^{R-w_2} p_z y' dA \tag{24}$$

Substituting Equations (9), (16) and (17) into Equation (24) is obtained:

$$M_{R2} = 2 \int_{y_0}^{R-w_2} \frac{p_{\max} (y' - y_0)}{R + w_1 - y_0} \sqrt{(R - w_2)^2 - y'^2} dy' \tag{25}$$

$$M_{R2} = \frac{p_{\max}}{R + w_1 - y_0} \left\{ \frac{y_0 [5 (R - w_2)^2 - 2y_0^2] \sqrt{(R - w_2)^2 - y_0^2}}{12} - \frac{(R - w_2)^4}{8} \left[ \pi - 2\arcsin \left( \frac{y_0}{R - w_2} \right) \right] \right\} \tag{26}$$

Now, the total resultant moment “ $M_R$ ” is obtained from  $M_{R1} - M_{R2}$ .

$$M_R = \frac{p_{\max}}{R + w_1 - y_0} \left\{ \frac{y_0 [5 (R + w_1)^2 - 2y_0^2] \sqrt{(R + w_1)^2 - y_0^2}}{12} - \frac{y_0 [5 (R - w_2)^2 - 2y_0^2] \sqrt{(R - w_2)^2 - y_0^2}}{12} - \frac{(R + w_1)^4}{8} \left[ \pi - 2\arcsin \left( \frac{y_0}{R + w_1} \right) \right] + \frac{(R - w_2)^4}{8} \left[ \pi - 2\arcsin \left( \frac{y_0}{R - w_2} \right) \right] \right\} \tag{27}$$

**2.3. Optimal surface for hollow circular footings.** The objective function to obtain the minimum area “ $A_{\min}$ ” for the two cases is

$$A_{\min} = \pi [(R + w_1)^2 - (R - w_2)^2] \tag{28}$$

The constraint functions for axial load and moment for the two cases are presented in Table 1.

TABLE 1. Constraint functions for axial load and moment

Case	Constraint functions
I	Equations (5) and (6), $w = w_1 + w_2$ , $R \geq w_2$ , $w_1 \geq 1$ , $w_2 \geq 1$ , $p_{s1} \leq p_{\max}$ , $0 \leq p_{s1}$ , $p_{s2} \leq p_{\max}$ , $0 \leq p_{s2}$
II	Equations (20) and (27), $w = w_1 + w_2$ , $R \geq w_2$ , $w_1 \geq 1$ , $w_2 \geq 1$ , $R \geq  y_0 $

Figure 5 shows the flowchart using the equations proposed and how to use Maple software to determine the minimum area of a hollow circular footing (Nonlinear optimization).

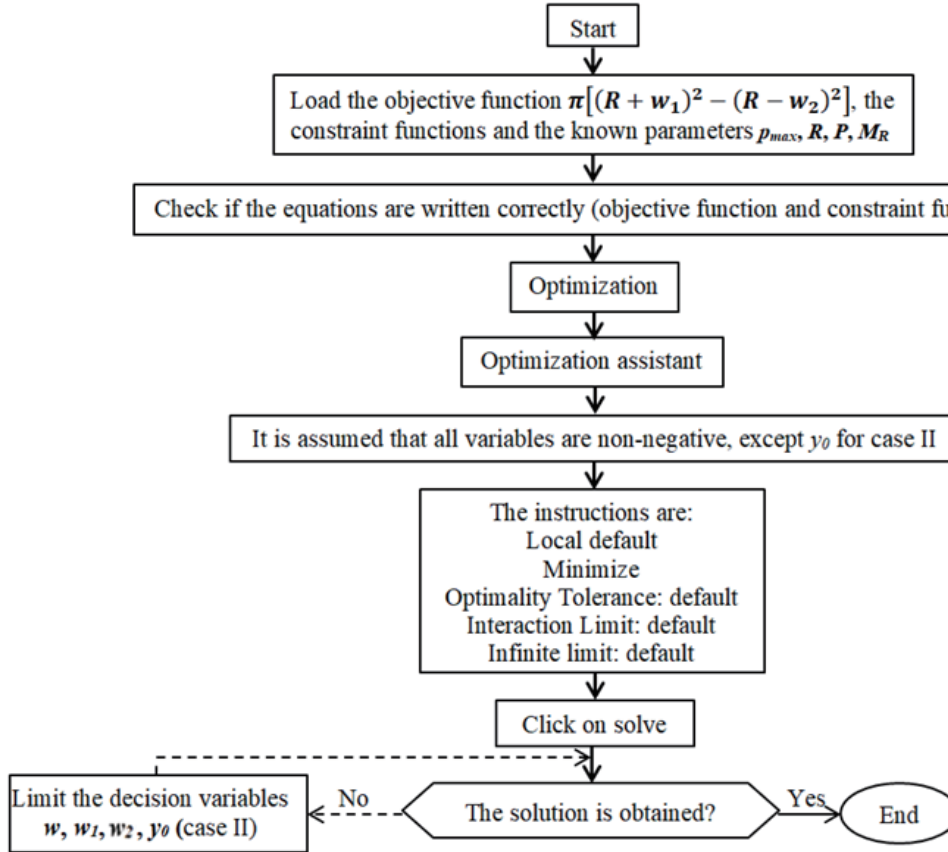


FIGURE 5. Flowchart for using Maple software

**3. Numerical Problems.** Tables 2, 3, 4, 5, 6 and 7 present six types of examples to obtain the dimensions of a hollow circular base. Type 1: The constant conditions are  $P = 20000$  kN,  $M_R = 350000$  kN-m,  $R = 20.00$  m ( $w_1$  and  $w_2$  different), and changing “ $p_{\max}$ ” (see Table 2). Type 2: The conditions are the same as type 1 ( $w_1$  and  $w_2$  equal) (see Table 3). Type 3: The constant conditions are  $p_{\max} = 300$  kN/m<sup>2</sup>,  $M_R = 250000$  kN-m,  $R = 15.00$  m ( $w_1$  and  $w_2$  different), and changing “ $P$ ” (see Table 4). Type 4: The conditions are the same as type 3 ( $w_1$  and  $w_2$  equal) (see Table 5). Type 5: The constant conditions are  $p_{\max} = 300$  kN/m<sup>2</sup>,  $P = 28000$  kN,  $R = 15.00$  m ( $w_1$  and  $w_2$  different), and changing “ $M_R$ ” (see Table 6). Type 6: The conditions are the same as type 5 ( $w_1$  and  $w_2$  equal) (see Table 7).

This procedure is used as follows:

- 1.- It starts with the data known as  $p_{\max}$ ,  $R$ ,  $P$  and  $M_R$ .
- 2.- The objective function is obtained by Equation (28).
- 3.- The constraint functions for each case are shown in Table 1.

4.- Once the objective function and the constraint functions are known, the procedure shown in Figure 5 is used to obtain the solution to the examples for case I ( $A_{\min}$ ,  $w_1$ ,  $w_2$ ,  $w$ ,  $p_1$  and  $p_2$ ), and for case II ( $A_{\min}$ ,  $w_1$ ,  $w_2$ ,  $w$  and  $y_0$ ).

TABLE 2.  $P = 20000$  kN,  $M_R = 350000$  kN-m,  $R = 20.00$  m ( $w_1$  and  $w_2$  different)

Case	$p_{\max}$ kN/m <sup>2</sup>	$y_0$ m	$w_1$ m	$w_2$ m	$w$ m	$p_1$ kN/m <sup>2</sup>	$p_2$ kN/m <sup>2</sup>	$A_{\min}$ m <sup>2</sup>
I	100	–	44.39	1.00	45.39	3.36	0	11892.72
II		1.25	4.37	1.00	5.37	100.00	0	730.99
I	150	–	44.39	1.00	45.39	3.36	0	11892.72
II		3.94	2.97	1.00	3.97	150.00	0	523.40
I	200	–	44.39	1.00	45.39	3.36	0	11892.72
II		5.48	2.18	1.00	3.18	200.00	0	411.28
I	250	–	44.39	1.00	45.39	3.36	0	11892.72
II		6.49	1.66	1.00	2.66	250.00	0	340.18
I	300	–	44.39	1.00	45.39	3.36	0	11892.72
II		7.21	1.30	1.00	2.30	300.00	0	290.71

TABLE 3.  $P = 20000$  kN,  $M_R = 350000$  kN-m,  $R = 20.00$  m ( $w_1$  and  $w_2$  equal)

Case	$p_{\max}$ kN/m <sup>2</sup>	$y_0$ m	$w_1$ m	$w_2$ m	$w$ m	$p_1$ kN/m <sup>2</sup>	$p_2$ kN/m <sup>2</sup>	$A_{\min}$ m <sup>2</sup>
I	100	–	–	–	–	–	–	–
II		5.80	3.75	3.75	7.50	100.00	0	942.15
I	150	–	–	–	–	–	–	–
II		6.93	2.49	2.49	4.98	150.00	0	624.86
I	200	–	–	–	–	–	–	–
II		7.39	1.84	1.84	3.68	200.00	0	461.61
I	250	–	–	–	–	–	–	–
II		7.60	1.45	1.45	2.90	250.00	0	364.13
I	300	–	–	–	–	–	–	–
II		7.72	1.19	1.19	2.38	300.00	0	299.96

TABLE 4.  $p_{\max} = 300$  kN/m<sup>2</sup>,  $M_R = 250000$  kN-m,  $R = 15.00$  m ( $w_1$  and  $w_2$  different)

Case	$P$ kN	$y_0$ m	$w_1$ m	$w_2$ m	$w$ m	$p_1$ kN/m <sup>2</sup>	$p_2$ kN/m <sup>2</sup>	$A_{\min}$ m <sup>2</sup>
I	28000	–	13.94	1.00	14.94	27.78	0	2015.80
II		–11.38	1.27	1.00	2.27	300.00	0	215.79
I	26000	–	17.41	1.00	18.41	19.37	0	2685.21
II		–9.04	1.25	1.00	2.25	300.00	0	214.25
I	24000	–	21.26	1.00	22.26	13.66	0	3515.11
II		–6.12	1.27	1.00	2.27	300.00	0	216.18
I	22000	–	25.63	1.00	26.63	9.63	0	4570.53
II		–2.51	1.35	1.00	2.35	300.00	0	224.48
I	20000	–	30.71	1.00	31.71	6.72	0	5948.97
II		1.88	1.57	1.00	2.57	300.00	0	246.46

TABLE 5.  $p_{\max} = 300 \text{ kN/m}^2$ ,  $M_R = 250000 \text{ kN-m}$ ,  $R = 15.00 \text{ m}$  ( $w_1$  and  $w_2$  equal)

Case	$P$ kN	$y_0$ m	$w_1$ m	$w_2$ m	$w$ m	$p_1$ kN/m <sup>2</sup>	$p_2$ kN/m <sup>2</sup>	$A_{\min}$ m <sup>2</sup>
I	28000	–	–	–	–	–	–	–
II		–11.03	1.15	1.15	2.30	300.00	0	217.59
I	26000	–	–	–	–	–	–	–
II		–8.68	1.15	1.15	2.30	300.00	0	217.59
I	24000	–	–	–	–	–	–	–
II		–5.71	1.16	1.16	2.32	300.00	0	218.98
I	22000	–	–	–	–	–	–	–
II		–1.96	1.22	1.22	2.44	300.00	0	229.63
I	20000	–	–	–	–	–	–	–
II		2.79	1.38	1.38	2.76	300.00	0	260.77

TABLE 6.  $p_{\max} = 300 \text{ kN/m}^2$ ,  $P = 28000 \text{ kN}$ ,  $R = 15.00 \text{ m}$  ( $w_1$  and  $w_2$  different)

Case	$M_R$ kN-m	$y_0$ m	$w_1$ m	$w_2$ m	$w$ m	$p_1$ kN/m <sup>2</sup>	$p_2$ kN/m <sup>2</sup>	$A_{\min}$ m <sup>2</sup>
I	240000	–	12.04	1.00	13.04	33.32	0	1680.61
II		–12.41	1.20	1.00	2.20	300.00	0	209.21
I	260000	–	15.77	1.00	16.77	23.73	0	2359.42
II		–10.30	1.34	1.00	2.34	300.00	0	222.94
I	280000	–	19.28	1.00	20.28	18.20	0	3076.61
II		–8.03	1.50	1.00	2.50	300.00	0	239.22
I	300000	–	22.65	1.00	23.65	14.59	0	3837.88
II		–5.65	1.68	1.00	2.68	300.00	0	258.73
I	320000	–	25.93	1.00	26.93	12.05	0	4645.97
II		–3.22	1.91	1.00	2.91	300.00	0	282.47

TABLE 7.  $p_{\max} = 300 \text{ kN/m}^2$ ,  $P = 28000 \text{ kN}$ ,  $R = 15.00 \text{ m}$  ( $w_1$  and  $w_2$  equal)

Case	$M_R$ kN-m	$y_0$ m	$w_1$ m	$w_2$ m	$w$ m	$p_1$ kN/m <sup>2</sup>	$p_2$ kN/m <sup>2</sup>	$A_{\min}$ m <sup>2</sup>
I	240000	–	–	–	–	–	–	–
II		–12.16	1.12	1.12	2.24	300.00	0	210.41
I	260000	–	–	–	–	–	–	–
II		–9.84	1.20	1.20	2.40	300.00	0	225.52
I	280000	–	–	–	–	–	–	–
II		–7.32	1.30	1.30	2.60	300.00	0	244.19
I	300000	–	–	–	–	–	–	–
II		–4.63	1.42	1.42	2.84	300.00	0	267.92
I	320000	–	–	–	–	–	–	–
II		–1.83	1.59	1.59	3.18	300.00	0	299.37

4. **Results.** Table 2 presents the following. Case I: All the values of  $w_1$ ,  $w_2$ ,  $w$ ,  $p_1$ ,  $p_2$  and  $A_{\min}$  remain constant for all values of " $p_{\max}$ ", because the value of " $p_1$ " does not reach the maximum pressure " $p_{\max}$ ". Case II: When the maximum pressure " $p_{\max}$ " increases,  $y_0$  and  $p_1$  increase,  $w_1$  and  $w$  decrease,  $w_2$  and  $p_2$  are equal.

Table 3 shows the following. Case I: There are no values, this is because it is restricted to  $w_1$  and  $w_2$  being equal, the value of  $w_2$  needs a larger value, and therefore a radius greater than 20.00 m must be proposed. Case II: When the maximum pressure " $p_{\max}$ " increases,  $y_0$  and  $p_1$  increase,  $w_1$ ,  $w_2$ ,  $w$  and  $A_{\min}$  decrease,  $p_2$  is equal.

Table 4 presents the following. Case I: When the axial load " $P$ " decreases,  $w_1$ ,  $w$  and  $A_{\min}$  increase,  $p_1$  decreases,  $w_2$  and  $p_2$  are equal. Case II: When the axial load " $P$ " decreases,  $y_0$  (absolute value),  $w_1$ ,  $w$  and  $A_{\min}$  increase,  $w_2$ ,  $p_1$  and  $p_2$  are equal.

Table 5 shows the following. Case I: There are no values, this is because it is restricted to  $w_1$  and  $w_2$  being equal, the value of  $w_2$  needs a larger value, and therefore a radius greater than 15.00 m must be proposed. Case II: When the axial load " $P$ " decreases,  $y_0$  (absolute value) decreases,  $w_1$ ,  $w_2$ ,  $w$  and  $A_{\min}$  increase,  $p_1$  and  $p_2$  are equal.

Table 6 presents the following. Case I: When the resultant moment " $M_R$ " increases,  $w_1$ ,  $w$  and  $A_{\min}$  increase,  $p_1$  decreases,  $w_2$  and  $p_2$  are equal. Case II: When the resultant moment " $M_R$ " increases,  $y_0$  (absolute value) decreases,  $w_1$ ,  $w$  and  $A_{\min}$  increase,  $w_2$ ,  $p_1$  and  $p_2$  are equal.

Table 7 shows the following. Case I: There are no values, this is because it is restricted to  $w_1$  and  $w_2$  being equal, the value of  $w_2$  needs a larger value, and therefore a radius greater than 15.00 m must be proposed. Case II: When the resultant moment " $M_R$ " increases,  $y_0$  (absolute value) decreases,  $w_1$ ,  $w_2$ ,  $w$  and  $A_{\min}$  increase,  $p_1$  and  $p_2$  are equal.

One way to check the new model is as follows.

1) Substituting  $w_1 = 0$  into Equation (14) gives Equation (17) proposed by Soto-Garcia et al. [37].

2) Substituting  $w_2 = 0$  into Equation (19) gives Equation (17) proposed by Soto-Garcia et al. [37].

3) Substituting  $w_1 = 0$  into Equation (23) gives Equation (20) proposed by Soto-Garcia et al. [37].

4) Substituting  $w_2 = 0$  into Equation (26) gives Equation (20) proposed by Soto-Garcia et al. [37].

Some comparisons are made with the results of other authors to show the advantages of the new model.

The practical example to consider the model proposed by Galvis and Smith-Pardo (G and S-P) [47] is shown below: A water tank of 24.3 m diameter by 36.6 m tall is perimetrically supported by a strip footing as shown in Figure 6. For a controlling load combination, overturning moment is  $M_R = 300000$  kN-m and the corresponding gravity load at the base of the tank is  $P = 28000$  kN. Determine the minimum width of the strip footing " $w$ " to prevent the condition of vulnerable foundation for bearing capacity of the soil is " $p_{\max}$ " equal to  $500$  kN/m<sup>2</sup>, assuming  $w_1 = w_2$ .

The model proposed by Galvis and Smith-Pardo (G and S-P) [47] presents a minimum width of the strip footing of  $w = 6.40$  m and  $A_{\min} = 488.58$  m<sup>2</sup>. While the model proposed in this work obtains the following results. The theoretical results are  $y_0 = 4.34$  m,  $w = 3.56$  m,  $w_1 = 1.78$  m,  $w_2 = 1.78$  m,  $p_{\max} = 500$  kN/m<sup>2</sup> and  $A_{\min} = 271.77$  m<sup>2</sup>. The practical results are  $y_0 = 4.32$  m,  $w = 3.60$  m,  $w_1 = 1.80$  m,  $w_2 = 1.80$  m,  $p_{\max} = 494.87$  kN/m<sup>2</sup> and  $A_{\min} = 274.83$  m<sup>2</sup>. Also, this problem is developed for  $w_1 \neq w_2$  in new model, and the results are as the following. The theoretical values are  $y_0 = 3.00$  m,  $w = 2.96$  m,  $w_1 = 1.96$  m,  $w_2 = 1.00$  m,  $p_{\max} = 500$  kN/m<sup>2</sup> and  $A_{\min} = 234.54$  m<sup>2</sup>. The practical

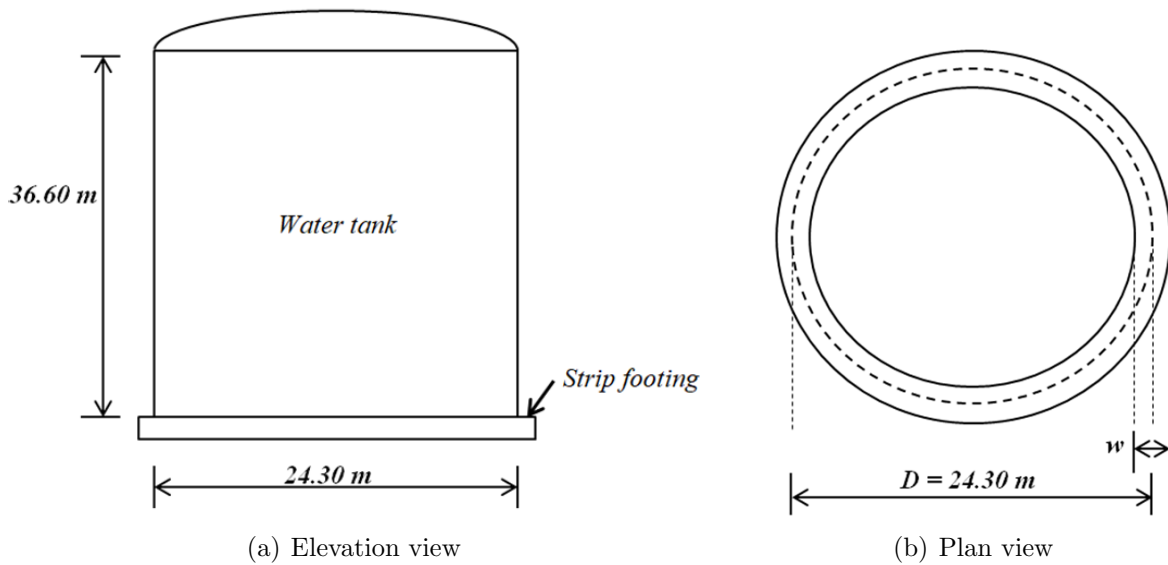


FIGURE 6. Water tank and strip footing

values are  $y_0 = 2.91$  m,  $w = 3.00$  m,  $w_1 = 2.00$  m,  $w_2 = 1.00$  m,  $p_{\max} = 489.40$  kN/m<sup>2</sup> and  $A_{\min} = 238.45$  m<sup>2</sup>.

Therefore, the proposed model has a saving in the area of contact with the ground of 43.75% and the width of the annular strip footing of 43.75% with respect to the model proposed by Galvis and Smith-Pardo (G and S-P) [47] in practical value (assuming  $w_1 = w_2$  for both models). Now, comparing the model proposed by (G and S-P) [47] in practical value (assuming  $w_1 = w_2$ ) with the new model (assuming  $w_1 \neq w_2$ ) there is a saving of 51.20% in the area of contact with the ground and the width of the annular strip footing of 53.12%. This is because the model proposed by Galvis and Smith-Pardo (G and S-P) [47] assumes a uniform soil pressure, and the proposed model assumes a linear soil pressure.

**5. Conclusions.** This paper presents a model to determine the optimal surface of a hollow circular footing or annular strip footing (the width and the position where pressure is zero, since the radius is subject to the conditions of the superstructure), assuming that the soil is elastic, the soil pressure distribution is linear and the surface in contact with the soil works partiality in compression.

The model consists of determining the width ( $w_1$  and  $w_2$ ) and the position where pressure is zero “ $y_0$ ” of a hollow circular footing subjected to biaxial bending, taking account of the objective function that appears in Equation (28) and the constraint functions that appear in Table 1.

The contributions of this paper are as follows.

- 1.- Some works assume uniform soil pressure, and the external width of the foundation “ $w_1$ ” is equal to the internal width of the foundation “ $w_2$ ”.
- 2.- The optimal area for case II is 0.0615 times that of case I at  $p_{\max} = 100$  kN/m<sup>2</sup>, 0.0440 times that of case I at  $p_{\max} = 150$  kN/m<sup>2</sup>, 0.0346 times that of case I at  $p_{\max} = 200$  kN/m<sup>2</sup>, 0.0286 times that of case I at  $p_{\max} = 250$  kN/m<sup>2</sup>, 0.0244 times that of case I at  $p_{\max} = 300$  kN/m<sup>2</sup> (see Table 2).
- 3.- The optimal area for case II is 0.1070 times that of case I at  $P = 28000$  kN, 0.0798 times that of case I at  $P = 26000$  kN, 0.0615 times that of case I at  $P = 24000$  kN, 0.0491 times that of case I at  $P = 22000$  kN, 0.0414 times that of case I at  $P = 20000$  kN (see Table 4).

4.- The optimal area for case II is 0.1245 times that of case I at  $M_R = 240000$  kN-m, 0.0945 times that of case I at  $M_R = 260000$  kN-m, 0.0778 times that of case I at  $M_R = 280000$  kN-m, 0.0674 times that of case I at  $M_R = 300000$  kN-m, 0.0608 times that of case I at  $M_R = 320000$  kN-m (see Table 6).

5.- Tables 3, 5 and 7 do not have values for case I, this is because the radius is smaller than the interior width of the foundation ( $R \leq w_2$ ).

6.- The proposed model has a saving in the ground contact area of 43.75% with respect to the model proposed by Galvis and Smith-Pardo (G and S-P) [47] in practical value.

The suggestions for the next research:

1.- Minimum cost design for hollow circular footings taking it into account that the surface in contact with the ground works partially in compression.

2.- Optimal surface in plan for hollow rectangular footings assuming that the contact surface with soil works partially under compression.

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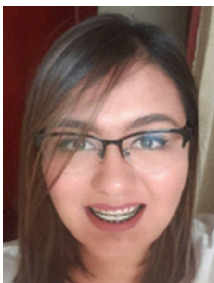
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