AN ANT COLONY SYSTEM FOR SOLVING DNA SEQUENCE DESIGN PROBLEM IN DNA COMPUTING

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ABSTRACT. Deoxyribonucleic acid (DNA) is a nucleic acid that contains the genetic information used in the development and functioning of all known existing organisms. In DNA computing, a set of DNA sequences is involved in solving an optimisation problem. The design of those sequences is difficult because of the frequency of DNA sequence mismatch hybridisations. In this paper, an Ant Colony System approach for DNA sequence design is proposed to solve this DNA sequence design problem. A 4-node state transition machine was used in this study as the computation model. During the implementation, each ant was placed randomly at a start node and then moved according to the state transition rule. Once all of the ants completed the tour, the objective function was computed. This process was repeated until the maximum iteration was obtained. Seven ants were used to design seven sequences that were 20 nucleobases in length. The results showed that a set of usable DNA sequences can be produced using this method, which is better than previous approaches using the Genetic Algorithm and Multi-Objective Evolutionary Algorithm.

Keywords: DNA sequence design, DNA computing, Ant colony system

1. Introduction. DNA has certain unique properties, such as self-assembly and selfcomplementary, which makes it capable of saving an enormous amount of data and performing massive parallel reactions. DNA is a polymer of nucleic acids that is assembled from a series of monomers. Monomers, which form the building blocks of nucleic acids, are called nucleotides. Each nucleotide contains a sugar (deoxyribose), a phosphate group, and one nucleobase. There are four types of nucleobases that can be classified as either purines or pyrimidines. Adenine (A) and guanine (G) nucleobases are classified as purines, and thymine (T) and cytosine (C) nucleobases are classified as pyrimidines. DNA computing is an unconventional computational process that requires data from *in vitro* experiments to be completed successfully [1]. DNA computing has shown potential by solving several mathematical problems, such as graph and statistics problems [2]. *In vitro* experiments are not error-free, and analogously, error can occur in computational experiments. Hence, many researchers have focused on the design of DNA sequences in order to minimise error in DNA computation.

The main objective of DNA sequence design is to prevent mismatch hybridisation among sequences in the data set. Avoidance of mismatch hybridisation ensures that the generated DNA sequences are unique and cannot be hybridised with other sequences. Previous studies have proposed a variety of DNA sequence design approaches [3-8] and applications of DNA sequence design can be seen in several areas [9-11].

2. DNA Sequence Design Problem. DNA sequence design requires the following four parameters: $H_{measure}$; similarity; hairpin; continuity. GC_{content} and melting temperature are used as constraints and are defined by the user [12]. In general, the DNA sequence design can be formulated as:

$$\min f_{DNA} = \sum_{i} \omega_{i} f_{i} = f_{Hmeasure} + f_{similarity} + f_{continuity} + f_{hairpin} \tag{1}$$

where f_i is the objective function for each $i \in \{H_{measure}, similarity, hairpin, continuity\}$ and ω_i is the weight for each f_i . In this study, weights are set to one. For convenience, basic notations used for the objectives and constraints formulation are shown in Table 1. In addition, the following notations are used.

$$bp(a,b) = \begin{cases} 1 & a = \bar{b} \\ 0 & \text{otherwise} \end{cases}$$
(2)

$$eq(a,b) = \begin{cases} 1 & a=b\\ 0 & \text{otherwise} \end{cases}$$
(3)

$$T(i,j) = \begin{cases} i & i > j \\ 0 & \text{otherwise} \end{cases}$$
(4)

For a given sequence $x \in \Lambda^*$, the number of non-blank nucleotides is defined as

$$length(x) = \sum_{i=1}^{|x|} n(x_i)$$
(5)

TABLE 1. Basic notations

Notation	Description
Λ	$\{A, C, G, T\}$
$x, y \in \Lambda$	$x, y = \{A, C, G, T\}$
x	length of x
$x_i \ (1 \le i \le x)$	ith nucleotide from 5'end of sequence x
Σ	A set of n sequences with the same length l
Σ_i	<i>i</i> th member of Σ
\bar{a}	complementary base of a
l	length of sequence
n	number of sequences

where

$$n(a) = \begin{cases} 1 & a \in \Lambda \\ 0 & \text{otherwise} \end{cases}$$
(6)

A shift of sequence x by i bases is denoted as follows:

$$shift(x,i) = \begin{cases} (-)^{i} x_{1} \cdots x_{l-1} & i \ge 0\\ x_{i+1} \cdots x_{l} (-)^{i} & i < 0 \end{cases}$$
(7)

2.1. **Objective functions.** In this paper, four objective functions are selected for DNA sequences design. The $H_{measure}$ term and similarity objectives are necessary to prevent miss-hybridisation during any experiment using DNA-based technology. Miss-hybridisation reduces the reliability and efficiency of DNA computation. Alternatively, the hairpin and continuity objectives are important in DNA-based technologies to prevent undesired secondary structures that can which produce undesired DNA complexes.

2.1.1. $H_{measure}$. The $H_{measure}$ term computes the number of complementary nucleotides required to prevent cross-hybridisation of two sequences. Cross-hybridisation occurs when bases of two sequences hybridise with their complements at the cross position. $H_{measure}$ is divided into two terms, H_{dis} and H_{con} . The H_{dis} term is for the overall complementary and the H_{con} term is the penalty for the continuous complementary region. For $H_{measure}$ calculations, two strands of sequences are placed in parallel. While one sequence is stationary, the reverse complement of the second sequence is shifted from one end to the other. For each position shift of the sequence, the complementarity between the two sequences is calculated. The $H_{measure}$ objective function, $f_{Hmeasure}(\Sigma)$, is given as

$$f_{Hmeasure}(\Sigma) = \sum_{i=1}^{n} \sum_{j=1}^{n} H_{measure}(\Sigma_i, \Sigma_j)$$
(8)

where Σ_i and Σ_j are anti-parallel to one other. This means that the sequences have different direction, such that the first sequence is in the 5' \rightarrow 3' direction and the second sequence is in the 3' \rightarrow 5' direction. For a particular *i* and *j*, where $i \neq j$, the $H_{measure}$ between two sequences, *x* and *y*, is calculated using

$$H_{measure}(x,y) = \max_{|i| < l-1} (h_{dis}(x, shift(rev(y), i)) + h_{con}(x, shift(rev(y), i)))$$
(9)

where

$$h_{dis}(x,y) = T\left(\sum_{i=1}^{l} bp(x_i, y_i), H_{dis} \times length_{nb}(y)\right)$$
(10)

$$h_{con}(x,y) = \sum_{i=1}^{l} T(cbp(x,y,i), H_{con})$$
(11)

 H_{dis} is a real value between 0 and 1, and H_{con} is an integer between 1 and *l*. Both values are set by the user and *T* is determined using Equation (4). In Equation (12),

$$cbp(x, y, i) = \begin{cases} c & \text{if } \exists c, \ s.t. \ bp(x_i, y) = 0, \ bp(x_{i+j}, y_{i+j}) = 1 \ \text{for } 1 \le j \le c, \\ bp(x_{i+c+1}, y_{i+c+1}) = 0 \\ 0 & \text{otherwise} \end{cases}$$
(12)

2.1.2. Similarity. The similarity objective function computes the similarity between two given sequences that are in the same direction. The similarity term has to be minimised in order to keep each sequence as unique as possible. The similarity fitness function, $f_{similarity}(\Sigma)$, is formulated as

$$f_{similarity}(\Sigma) = \sum_{i=1}^{n} \sum_{j=1, j \neq i}^{n} similarity(\Sigma_i, \Sigma_j)$$
(13)

where Σ_i and Σ_j are parallel to each other. Similarity (x, y) also consists of two terms, i.e., s_{dis} and s_{con} . The s_{dis} term represents the overall complementary similarity calculation and the s_{con} term represents the penalty for the continuous complementary region. The calculation for similarity between two sequences is shown in Equation (14).

$$similarity(x,y) = \max_{|i| < l-1} \left(s_{dis}(x, shift(y, i)) + s_{con}(x, shift(y, i)) \right)$$
(14)

Note that S_{dis} is a real value between 0 and 1, and S_{con} is an integer between 1 and l. Both values are set by the user. In Equation (14),

$$s_{dis}(x,y) = T\left(\sum_{i=1}^{l} eq(x_i, y_i), S_{dis} \times length_{nb}(y)\right)$$
(15)

$$s_{con}(x,y) = \sum_{i=1}^{l} T(ceq(x,y,i), S_{con})$$
(16)

$$ceq(x, y, i) = \begin{cases} c & \text{if } \exists c, \ s.t. \ eq(x_i, y_i) = 0, \ eq(x_{i+j}, y_{i+j}) = 1\\ \text{for } 1 \le j \le c, \ eq(x_{i+c+1}, y_{i+c+1}) = 0 \\ 0 & \text{otherwise} \end{cases}$$
(17)

2.1.3. *Hairpin*. The *hairpin* objective function calculates the probability of a single-stranded DNA to form a secondary structure, particularly a hairpin structure. The *hairpin* term can be formulated as

$$f_{hairpin}(\Sigma) = \sum_{i=1}^{n} hairpin(\Sigma_i)$$
(18)

where the calculation of hairpin for a sequence is given as

$$hairpin(x) = \sum_{r=R_{\min}}^{l-2*P_{\min}} \sum_{p=P_{\min}}^{l-P_{\min}-r} T\left(\sum_{i=1}^{pinlen(p,r)} bp(x_{p+1-i}, x_{p+r+i}), \frac{pinlen(p, r, i)}{2}\right)$$
(19)

with pinlen(p, r, i) = min(p + i, l - r - i - p), where p is a pair and r is a ring.

2.1.4. Continuity. The continuity objective function computes the number of continuous bases that are the same in a single-strand of DNA. Continuity for a set of sequences, Σ , is defined as

$$f_{continuity}(\Sigma) = \sum_{i=1}^{n} continuity(\Sigma_i)$$
(20)

where

$$continuity(x) = \sum_{1 \le i \le l} \left(\sum_{a \in \Lambda nb} T(c(a,i),t)^2 \right)$$
(21)

Here, t is a threshold parameter, which denotes the minimum number of base repetitions in a sequence, l is the length of the sequence, and

$$c(a,i) = \begin{cases} n & \text{if } \exists n, \ s.t. \ eq(a_i, a_{i+j}) = 1 \text{ for } 1 \le j < n, \\ eq(a_i, a_{i+n}) = 0 \\ 0 & \text{otherwise} \end{cases}$$
(22)

2.2. Constraints in DNA sequence design. Generally, it is preferable to have DNA sequences which behave uniformly in fundamental chemical reactions during the *in vitro* computation. Two constraints, namely $GC_{content}$ and melting temperature, T_m , can be employed to ensure uniform chemical characteristics. These constraints are discussed in more as follows.

2.2.1. $GC_{content}$. The $GC_{content}$ term is the percentage of G and C in a sequence. Since GC content can affect the chemical properties of DNA sequences, it is an important constraint in DNA sequence design. The $GC_{content}$ constraints of a sequence is expressed as

$$GC_{min} \le GC_{content} = (yG + zC)/(wA + xT + yG + zC) \le GC_{max}$$
 (23)

where wA, xT, yG, and zC are the numbers of A, T, G, and C in the sequence, respectively, and the GC_{min} and GC_{max} values are determined by the user.

2.2.2. Melting temperature. Melting temperature, T_m , is the temperature where half of the double-stranded DNA starts to break into its single-stranded form [13]. T_m can be calculated using the following equation, which is based on Nearest-neighbour formulation:

$$T_{m(\min)} \le T_m(x) = \frac{\Delta H}{\Delta S + R \ln C_T} + 16.6 \log(\mathrm{Na}^+) \le T_{m(\max)}$$
(24)

where ΔH and ΔS are the enthalpy and entropy changes of the annealing reaction, respectively. The universal gas constant (Boltzmann's constant), R, is $\left(\frac{1.987 \text{cal}}{\text{mol}^{\circ}\text{C}}\right)$. C_T is the total oligonucleotide strand concentration. For non-self-complementary molecules, C_T is replaced by $C_T/4$. Na⁺ is the salt concentration, which is used for salt adjustment. In this paper, Santa Lucia Unified [14] is used in the calculation of T_m .

3. The Proposed Ant Colony System for DNA Sequence. Ant colony optimisation (ACO) is a population-based metaheuristics model that can be used to find solutions for optimisation problems [15]. In ACO, a set of software agents, called artificial ants, search for solutions to a given optimisation problem. Ant colony system (ACS) is a variant of ACO algorithms. ACS includes different mechanisms that are related to pheromone communication and the state transition rule.

The computation of the DNA sequence design problem is modelled by a 4-node state machine, as shown in Figure 1 [12]. The 4 nodes represent each of the four DNA bases: A, C, T, and G. Note that a similar computation model has been employed by Ibrahim et al. [8]. In this study, each solution consisted of seven ants, and each ant represented one DNA sequence. The length for each sequence was 20 nucleobases. Algorithm 1 shows the proposed ACS algorithm used in this study. The algorithm begins with the initialisation of τ_0 , ε , ρ , H_{con} , H_{dis} , S_{con} , and S_{dis} . The formulation of τ_0 is shown in Equation (25).

$$\tau_0 = \frac{1}{Q/n} \tag{25}$$

where Q is the sum of objectives calculated for a set of randomly generated DNA sequences and n is the number of sequences. The initialised values of other parameters are shown in Table 2 and Table 3.



FIGURE 1. A computational model for DNA sequence design

Algorithm 1. Ant colony system for DNA sequence design //-- Initialisation Initialise parameters τ_0 , ε , ρ , H_{con} , H_{dis} , S_{con} , S_{dis} /* each loop is called an iteration Loop Loop /* each loop is called an ant Each ant is positioned randomly on the start node Loop //-- State transition rule Each ant applies state transition rule to incrementally build a solution //-- Local pheromone updating Local pheromone updating rules is applied Until ant build a complete DNA sequence If $GC_{content}$ and T_m constraints passed then Proceed with the next ant Else Repeat the DNA sequence generation using the current ant End if Until all ants have built a complete solution For each DNA sequences do Calculate objective functions Next If the objective functions of the sequences better than previous then store the sequences as the best found sequences End if //-- Global pheromone updating for the best DNA sequence produced by ants A global pheromone updating rule is applied Until Stopping Condition Meet

During the construction of a new set of DNA sequences, a state transition rule, as shown in Equation (26), was employed to determine the next state (base) for each ant.

$$p_k(r,s)_{ACS} = \begin{cases} \arg\max\{[\tau(r,S)]\} & \text{if } q \le q_0 \\ \text{random} & \text{otherwise} \end{cases}$$
(26)

where τ is the pheromone information, $s \in S$, and $S = \{A, C, G, T\}$. The probability, p_k , of an ant moving from one state, r, to another state, s, depends on random variables

Parameter	Value	
Н	H_{con}	6
11 measure	H_{dis}	0.17
Similarity	S_{con}	6
Dimitarity	S_{dis}	0.17
Continuity threshold	t	2
Hairpin	R_{\min}	6
	P_{\min}	6
CC%	Min	15
6070	Max	85
	Min	0 °C
1 m	Max	150 °C
Na ⁺	1 Mol	

 TABLE 2. DNA parameters

Parameter	Value			
В	1			
Z	0.05			
P	0.1			
q_0	0.5			
N	half of ants			
Number of Sequences = $7 (no. of ants - n)$				
Length of DNA Sequences $= 20$ (no. of tours)				
Maximum Number of Iteration $(t_{\text{max}}) = 300$				

q and q_0 , where q is a uniformly distributed random variable [0, 1] and q_0 is between 0 and 1. In this study, if $q > q_0$, random bases are chosen, as shown in Equation (26). This is due to the observation that if the actual equation for the state transition rule in ACS is used, it will increase the tendency to choose the same base repeatedly.

The sequence generated by ants must satisfy the $GC_{content}$ and melting temperature constraints. For any invalid sequence generated by the ant, the algorithm will require the ant to generate a new solution by random walk. This simple change in the ACS algorithm not only ensures the result obtained is valid but also reduces computation time. An invalid DNA sequence will affect other DNA sequences generated and thus requires additional computation time to generate a new solution. Then, each sequence constructed by ants is subjected to local pheromone updating, shown in Equation (27).

$$\tau(r,s)_{t+1} = (1-\zeta) \cdot \tau(r,s)_t + \tau_0 \tag{27}$$

where $\zeta = [0, 1]$ is the pheromone decay coefficient and τ_0 is the initial value of the pheromone. After, the total fitness is calculated according to Equation (1). The ant with the lowest total fitness performs the global updating, according to Equation (28).

$$\tau(r,s)_{t+1} = (1-\rho) \cdot \tau(r,s)_t + \Delta \tau(r,s)$$
(28)

where ρ ($0 \ge \rho \ge 1$) is the evaporation rate and $\Delta \tau(r, s)$ is the quantity of pheromone laid on edge (r, s) by ant k at iteration t, which is given by:

$$\Delta \tau(r,s) = \begin{cases} 1/Q & \text{if } (r,s) \in \text{tour done by ant } k \\ 0 & \text{otherwise} \end{cases}$$
(29)

This process is repeated until the maximum number of iterations is reached.

4. Results and Discussion. The experiments were conducted for 100 runs, and the experimental results were collected for further analysis. In this experiment, the value of weight ω_i for each f_i is equal to one and the length of each sequence is fixed to 20 nucleobases. The experimental results obtained from the proposed method were compared with the existing methods, namely ACS with archive [8], Genetic Algorithm (GA) [6], and Multi-Objective Evolutionary Algorithm (MOEA) [7]. Tables 4-7 show the best set of sequences based on the proposed method, ACS with archive [8], GA [6], and MOEA [7], respectively. Figure 2 shows the best results for all methods and the average value of the proposed ACS for 100 runs. In this paper, the melting temperature formulation was calculated based on the Nearest Neighbour (NN) method, with 1 M salt concentration and 10 nM DNA concentrations. Table 8 shows the average value for each objective functions for 100 runs.

Smaller values for each objective function as well as the total fitness showed that the proposed ACS provided better results than GA [10] and MOEA [7]. However, the existing ACS with archive [5] performed better than the proposed approach. This is due to the fact that the archive stored the best sequences during the optimisation process.

In terms of computation time, as shown in Table 9, a run averages 15.64s. Additionally, the average iteration number with global convergence for 100 runs is 175 iterations. A large average iteration number with global convergence might suggest that the algorithm has no convergence, while a small value might suggest that the algorithm convergence prematurely. Here, the average iteration with global convergence is 2/3 of the maximum

Sequences	$H_{measure}$	Similarity	Continuity	Hairpin
GGAGTGAGAGAGAGAGAGAG	25	73	0	0
AGAGAGAATGAGTTCAGATG	43	67	0	0
CGAGGAGATCCGCGATACCG	53	60	0	0
AGATGAGGAGCGCAGAGGCG	39	69	0	0
AGAGCGATGAGAAGAGAGAG	28	72	0	0
TGAGAGAGAGAGAGAGAGAGAG	23	74	0	0
AAGAGAAGAGAGAGAGAGAGAG	22	71	0	0
Average	33.285	69.428	0	0
Total	102.714			

TABLE 4. Results obtained based on the proposed ACS

TABLE 5. Results obtained based on ACS with archive [8]

Sequences	$H_{measure}$	Similarity	Continuity	Hairpin
ACGTGTGTCGTGTGTGTGTGTC	23	77	0	0
CTCTCCTCTCCTCTCCTCTC	49	35	0	0
TGTGTGTGTGTGTGTGTGCGTG	21	68	0	0
ACGTGTGTGTGTGTGTGTGTGTG	25	74	0	0
GTTGTGTGTGTGTGTGTGTGT	20	81	0	0
TGATTGATGATGATGATGAT	39	46	0	0
TTGTGTGTGTGTGTGTGTGTGT	21	69	0	0
Average	28.285	64.285	0	0
Total	92.571			

Sequences	$H_{measure}$	Similarity	Continuity	Hairpin
ATAGAGTGGATAGTTCTGGG	66	55	9	0
CATTGGCGGCGCGTAGGCTT	62	44	0	0
CTTGTGACCGCTTCTGGGGA	70	60	16	0
GAAAAAGGACCAAAAGAGAG	69	40	41	0
GATGGTGCTTAGAGAAGTGG	61	51	0	0
TGTATCTCGTTTTAACATCC	74	41	16	4
TTGTAAGCCTACTGCGTGAC	64	47	0	0
Average	66.571	48.285	11.714	0.57143
Total	127.1428571			

TABLE 6. Results obtained based on GA [6]

TABLE 7. Results obtained based on MOEA [7]

Sequences	$H_{measure}$	Similarity	Continuity	Hairpin
CTCTTCATCCACCTCTTCTC	52	60	0	0
CTCTCATCTCTCCGTTCTTC	48	58	0	0
TATCCTGTGGTGTCCTTCCT	56	54	0	0
ATTCTGTTCCGTTGCGTGTC	59	56	0	0
TCTCTTACGTTGGTTGGCTG	64	51	0	0
GTATTCCAAGCGTCCGTGTT	66	47	0	0
AAACCTCCACCAACACACCA	71	41	9	0
Average	59.428	52.428	1.285	0
Total	113.142			



FIGURE 2. The best results for each method and the average value of the proposed ACS obtained based on 100 runs

TABLE 8. Average values for each objective functions for 100 runs

Objective Functions	$H_{measure}$	Similarity	Continuity	Hairpin	Total
Average (100 runs)	51.89	60.1	3.25	0.65	115.23

iteration value, which is reasonable justification for the algorithm convergence performing properly.

Practical use of this work can be seen in several areas, such as DNA nanotechnology and DNA computing. DNA nanotechnology uses branched DNA structures to create DNA complexes with useful properties. One of the important steps in producing DNA structures is the sequence design, where poor design will produce undesired structures. In DNA computing, a well-designed DNA sequence will ensure the reliability of the DNA computation. Reliability of DNA computing is the ability of the single-stranded DNAs to hybridise correctly and produce identical results, *ceteris paribus*. This can be achieved by creating a large collection DNA sequence pairs that are unique.

TABLE 9. Computation time for 100 runs

The average time taken for 300 iterations using 7 ants	$15.64 {\rm \ s}$
The least iteration number with global convergence	65 iterations
The maximum iteration number with global convergence	259 iterations
The average iteration number with global convergence	175 iterations

5. Conclusions. This paper presented an Ant Colony System (ACS) algorithm that was implemented to solve a DNA sequence design problem. This problem consists of four objectives ($H_{measure}$, Similarity, Continuity, and Hairpin) and two constraints (GC_{content} and melting temperature). The obtained results obtained were compared to other existing methods, including the ACS with archive, GA, and MOEA methods. It was expected that, even though the proposed approach was applied to solve a DNA sequence design problem, similar approaches can be used to design DNA sequences for any application, such as primer design for polymerase chain reaction and DNA-based nanotechnology. Finally, a multi-objective ant colony optimisation algorithm can be developed to effectively solve the DNA sequence design problem because the problem is a multi-objective optimisation problem.

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